#### § 845.50

interest in the accident investigation will be entertained only if based on the discovery of new evidence or on a showing that the Board's findings are erroneous. The petitions shall be in writing. Petitions which are repetitious of proposed findings submitted pursuant to §845.27, or of positions previously advanced, and petitions filed by a party to the hearing who failed to submit proposed findings pursuant to §845.27 will not be entertained. Petitions based on the discovery of new matter shall: identify the new matter; contain affidavits of prospective witnesses, authenticated documents, or both, or an explanation of why such substantiation is unavailable; and state why the new matter was not available prior to Board's adoption of its findings. Petitions based on a claim of erroneous findings shall set forth in detail the grounds relied upon.

- (b) When a petition for reconsideration or modification is filed with the Board, copies of the petition and any supporting documentation shall be served on all other parties to the investigation or hearing and proof of service shall be attached to the petition. The other parties may file comments no later than 90 days after service of the petition.
- (c) Oral presentation before the Board normally will not form a part of proceedings under this part. However, the Board may permit oral presentation where a party or interested person makes an affirmative showing that the written petition for reconsideration or modification is an insufficient means to present the party's or person's position to the Board. Where oral presentation is allowed, the Board will specify the issues to be addressed and all parties to the investigation or hearing will be given notice and the opportunity to participate.

[48 FR 52740, Nov. 22, 1983]

### Subpart D—Public Record

### §845.50 Public docket.

(a) The public docket shall include all factual information concerning the accident. Proposed findings submitted pursuant to §831.12 or §845.27 and petitions for reconsideration and modifica-

tion submitted pursuant to §845.41, comments thereon by other parties, and the Board's rulings, shall also be placed in the public docket.

(b) The docket shall be established as soon as practicable following the accident, and material shall be added thereto as it becomes available. Where a hearing is held, the exhibits will be introduced into the record at the hearing

(c) A copy of the docket shall be made available to any person for review at the Washington office of the Board. Copies of the material in the docket may be obtained, upon payment of the cost of reproduction, from the Public Inquiries Section, Bureau of Administration, National Transportation Safety Board, Washington, DC 20594.

[44 FR 34419, June 14, 1979, as amended at 48 FR 52740, Nov. 22, 1983]

#### §845.51 Investigation to remain open.

Accident investigations are never officially closed but are kept open for the submission of new and pertinent evidence by any interested person. If the Board finds that such evidence is relevant and probative, it shall be made a part of the docket and, where appropriate, parties will be given an opportunity to examine such evidence and to comment thereon.

### PART 850—COAST GUARD—NA-TIONAL TRANSPORTATION SAFE-TY BOARD MARINE CASUALTY INVESTIGATIONS

Sec.

850.1 Purpose.

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850.10 Preliminary investigation by the Coast Guard.

850.15 Marine casualty investigation by the Board.

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850.25 Coast Guard marine casualty investigation for the Board.

850.30 Procedures for Coast Guard investiga-

850.35 Records of the Coast Guard and the Board.

AUTHORITY: Sec. 304(a)(1)(E), Independent Safety Board Act of 1974, Pub. L. 93-633, 88 Stat. 2168 (49 U.S.C. 1903).

### **National Transportation Safety Board**

SOURCE: 42 FR 61204, Dec. 1, 1977, unless otherwise noted.

#### §850.1 Purpose.

This part prescribes the joint regulations of the National Transportation Safety Board and the Coast Guard for the investigation of marine casualties.

[47 FR 46089, Oct. 15, 1982]

# § 850.3 Relationship to Coast Guard marine investigation regulations and procedures.

- (a) The Coast Guard's responsibility to investigate marine casualties is not eliminated nor diminished by the regulations in this part.
- (b) In those instances where the Board conducts an investigation in which the Coast Guard also has responsibility under R.S. 4450 (46 U.S.C. 239), the proceedings are conducted independently, but so as to avoid duplication as much as possible.

### §850.5 Definitions.

As used in this part:

- (a) Act means Title III of Pub. L. 93-633, the Independent Safety Board Act of 1974 (49 U.S.C. 1901, et seq.).
- (b) Board means the National Transportation Safety Board.
- (c) Chairman means the Chairman of the National Transportation Safety Board.
- (d) Commandant means the Commandant of the Coast Guard.
- (e) Major marine casualty means a casualty involving a vessel, other than a public vessel, that results in—
  - (1) The loss of six or more lives;
- (2) The loss of a mechanically propelled vessel of 100 or more gross tons;
- (3) Property damage initially estimated as \$500,000 or more; or
- (4) Serious threat, as determined by the Commandant and concurred in by the Chairman, to life, property, or the environment by hazardous materials.
- (f) Public vessel means a vessel owned by the United States, except a vessel to which the Act of October 25, 1919, c. 82 (41 Stat. 305, 46 U.S.C. 363) applies.
- (g) Vessel of the United States means a vessel—
- (1) Documented, or required to be documented, under the laws of the United States;
  - (2) Owned in the United States; or

(3) Owned by a citizen or resident of the United States and not registered under a foreign flag.

# §850.10 Preliminary investigation by the Coast Guard.

- (a) The Coast Guard conducts the preliminary investigation of marine casualties.
- (b) The Commandant determines from the preliminary investigation whether:
- (1) The casualty is a major marine casualty; or
- (2) The casualty involves a public and a nonpublic vessel and at least one fatality or \$75,000 in property damage; or
- (3) The casualty involves a Coast Guard and a nonpublic vessel and at least one fatality or \$75,000 in property damage; or
- (4) The casualty is a major marine casualty which involves significant safety issues relating to Coast Guard safety functions, e.g., search and rescue, aids to navigation, vessel traffic systems, commercial vessel safety, etc.
- (c) The Commandant notifies the Board of a casualty described in paragraph (b) of this section.

[42 FR 61204, Dec. 1, 1977, as amended at 47 FR 46089, Oct. 15, 1982]

## §850.15 Marine casualty investigation by the Board.

- (a) The Board may conduct an investigation under the Act of any major marine casualty or any casualty involving public and nonpublic vessels. Where the Board determines it will convene a hearing in connection with such an investigation, the Board's rules of practice for transportation accident hearings in 49 CFR part 845 shall apply.
- (b) The Board shall conduct an investigation under the Act when:
- (1) The casualty involves a Coast Guard and a nonpublic vessel and at least one fatality or \$75,000 in property damage; or
- (2) The Commandant and the Board agree that the Board shall conduct the investigation, and the casualty involves a public and a nonpublic vessel and at least one fatality or \$75,000 in property damage; or
- (3) The Commandant and the Board agree that the Board shall conduct the

#### § 850.20

investigation, and the casualty is a major marine casualty which involves significant safety issues relating to Coast Guard safety functions.

[47 FR 46090, Oct. 15, 1982]

# § 850.20 Cause or probable cause determinations from Board investiga-

After an investigation conducted by the Board under §850.15, the Board determines cause or probable cause and issues a report of that determination.

# §850.25 Coast Guard marine casualty investigation for the Board.

- (a) If the Board does not conduct an investigation under §850.15(a), (b)(2) or (3), the Coast Guard, at the request of the Board, may conduct an investigation under the Act unless there is an allegation of Federal Government misfeasance or nonfeasance.
- (b) The Board will request the Coast Guard to conduct an investigation under paragraph (a) of this section within 48 hours of receiving notice under §850.10(c).
- (c) The Coast Guard will advise the Board within 24 hours of receipt of a request under paragraph (b) of this section whether the Coast Guard will conduct an investigation under the Act.

[47 FR 46090, Oct. 15, 1982]

# §850.30 Procedures for Coast Guard investigation.

- (a) The Coast Guard conducts an investigation under §850.25 using the procedures in 46 CFR 4.01-1 through 4.23-1.
- (b) The Board may designate a person or persons to participate in every phase of an investigation, including on-scene

investigation, that is conducted under the provisions of §850.25.

- (c) Consistent with Coast Guard responsibility to direct the course of the investigation, the person or persons designated by the Board under paragraph (b) of this section may:
- (1) Make recommendations about the scope of the investigation.
  - (2) Call and examine witnesses.
- (3) Submit or request additional evidence.
- (d) The Commandant provides a record of the proceedings to the Board of an investigation of a major marine casualty under paragraph (a) of this section.
- (e) The Board, under the Act, makes its determination of the facts, conditions, and circumstances, and the cause or probable cause of a major marine casualty, using the record of the proceedings provided by the Commandant under paragraph (d) of this section and any additional evidence the Board may acquire under its own authority.
- (f) An investigation by the Coast Guard under this section is both an investigation under the Act and under R.S. 4450 (46 U.S.C. 239).

### §850.35 Records of the Coast Guard and the Board.

- (a) Records of the Coast Guard made under §850.30 are available to the public under 49 CFR part 7.
- (b) Records of the Board made under §§ 850.20 and 850.30 are available to the public under 49 CFR part 801.

### PARTS 851—999 [RESERVED]